

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE BOARD OF PATENT APPEALS
AND INTERFERENCES

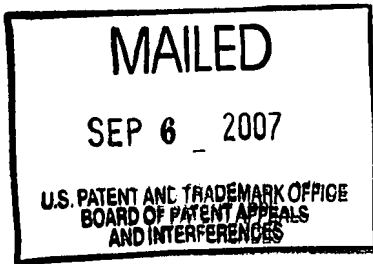
Ex parte TETSUNOSUKE FUJISAKI

Application 09/710,999

ORDER RETURNING UNDOCKETED APPEAL TO EXAMINER

This application was received electronically at the Board of Patent Appeals and Interferences on August 30, 2007. A review of the application has revealed that the application is not ready for docketing as an appeal. Accordingly, the application is herewith being electronically returned to the examiner. The matters requiring attention prior to docketing are identified below:

An examination of the Image File Wrapper (IFW) reveals that an Appeal Brief was filed on July 25, 2005.



37 CFR § 41.37(c)(1) (2005) reads as follows:

(c)(1) The brief shall contain the following items under appropriate headings and in the order indicated in paragraphs (c)(1)(i) through (c)(1)(x) of this section, except that a brief filed by an appellant who is not represented by a registered practitioner need only substantially comply with paragraphs (c)(1)(i) through (c)(1)(iv) and (c)(1)(vii) through (c)(1)(x) of this section:

. . . .

(v) *Summary of claimed subject matter.* A concise explanation of the subject matter defined in each of the independent claims involved in the appeal, which shall refer to the specification by page and line number, and to the drawing, if any, by reference characters. For each independent claim involved in the appeal and for each dependent claim argued separately under the provisions of paragraph (c)(1)(vii) of this section, every means plus function and step plus function as permitted by 35 U.S.C. 112, sixth paragraph, must be identified and the structure, material, or acts described in the specification as corresponding to each claimed function must be set forth with reference to the specification by page and line number, and to the drawing, if any, by reference characters.

The “Summary of claimed subject matter” appearing on page 2 of the Appeal Brief filed July 25, 2005 is deficient because it does not map the independent claims to the specification. See § 1205.03(B) of the Manual of Patent Examining Procedure (MPEP) (Eighth Edition, Rev. 3, August 2005) which states:

(B) When the Office holds the brief to be defective solely due to appellant’s failure to provide a summary of the claimed subject matter

as required by 37 CFR 41.37 (c)(1)(v), an entire new brief need not, and should not, be filed. Rather, a paper providing a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v) will suffice. Failure to timely respond to the Office's requirement will result in dismissal of the appeal. See MPEP § 1215.04 and § 711.02(b).

Correction is required.

In addition, the Examiner's Answer mailed October 12, 2005, as well as the Examiner's Answer mailed April 6, 2006 in response to the Remand mailed March 15, 2006,¹ lists the Fourth Edition of the "Dictionary of Banking Terms" as part of the Evidence Relied Upon. However, we are unable to locate a copy of this reference in the IFW. Correction is required.

Accordingly, it is

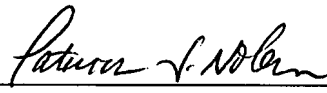
ORDERED that the application is returned to the Examiner:

- 1) for notification to appellant to submit a paper providing a revised "Summary of claimed subject matter";
- 2) for consideration of said paper;
- 3) for providing a copy of pages 408 and 409 from the Fourth Edition of "Dictionary of Banking Terms" by T.P. Fitch and having a copy scanned into the IFW; and

¹ It should be noted that the Examiner's Answer mailed April 6, 2006 complied with the Panel Remand mailed March 15, 2006.

4) for such further action as may be appropriate.

BOARD OF PATENT APPEALS
AND INTERFERENCES

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